

Customer Disclosure Statement Summer Street Research Partners

The Company's plan takes into account two kinds Significant Business Disruptions (SBDs), internal and external. Internal SBDs affect only the Company's ability to communicate and do business, such as a fire or loss electrical power in the office or building. External SBDs prevent the operation of the securities markets or a number of firms, such as a terrorist attack, a natural disaster, or another event that causes a wide-scale, regional disruption in essential services.

Contact information: Any questions regarding the Company's Business Continuity Plans should be addressed to: David Pychewicz, One Beacon Street, Boston, MA 02108 (617-338-7500).

Internal SBDs: In the event of a disruption in the Company's business operations due an internal SBD, the Company will attempt to continue to conduct business as usual by utilizing alternative communication methods (if available), such as the Internet, cell phones, etc., or by moving its operations to an alternative location.

External SBDs: In the event of a disruption in the Company's business operations due to an external SBD, the Company will attempt to continue to conduct business as usual by moving its operations to an alternative location outside the affected area, if possible, or by providing customers with alternative arrangements.

The Company will resume normal business operations as soon as it is able based on the type and the extent of the disrupting event.

Communications: In the event you are unable to reach the Company, customers should proceed as follows:

1. Contact the Company at the following alternate telephone number: 617-633-2630
2. Contact the clearing firm directly at the following telephone number: 888-367-2563

All critical records related to the Company's business operations are backed-up daily and stored in a secure offsite location. These back-up files can be used to restore Company systems to ensure that business can be back to normal as quickly as possible after the disruption.

Critical files related to customer accounts, funds and securities are maintained by the clearing firm and they have established a business continuity plan to ensure the security of these records. For more information on Pershing's plan, please refer to their website: www.pershing.com or contact Abdel Bakash at 201-413-3675.

Revised April, 2009